

# On Practical Coexistence Gaps in Space for LTE-U/WiFi Coexistence

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**Abstract**—LTE-U/WiFi coexistence can be significantly improved by placing so-called coexistence gaps in space through cross-technology interference-nulling (CTIN) from LTE-U BS towards WiFi nodes. Such coordinated co-existence scheme requires, for the exchange of control messages, a cross-technology control channel (CTC) between LTE-U and WiFi networks which was presented recently. However, it is unclear how a practical CTIN operates in the absence of channel state information which is needed for CTIN but cannot be obtained from the CTC. We present XZero, the first practical CTIN system that is able to quickly find the suitable precoding configuration used for interference nulling without having to search the whole space of angular orientations. XZero performs a tree-based search to find the direction for the null beam(s) by exploiting the feedback received from the WiFi AP on the tested null directions. We have implemented a prototype of XZero using SDR platform for LTE-U and commodity hardware for WiFi and evaluated its performance in a large indoor testbed. Evaluation results reveal on average a reduction by 15.7 dB in interference-to-noise ratio at the nulled WiFi nodes when using a ULA with four antennas. Moreover, XZero has a sub-second reconfiguration delay which is up to  $10\times$  smaller as compared to naive exhaustive linear search.

## I. INTRODUCTION

As LTE operators have been expanding their operation to unlicensed spectrum via carrier aggregation, ensuring coexistence in the unlicensed bands has become a big challenge, particularly with WiFi networks which carry a significant fraction of current mobile data traffic and thereby are vital to communications [1]. A significant body of research, e.g., [2], [3], develops solutions for this key issue and the common approach is to employ so-called *coexistence gaps* in either time, frequency, space, or code domains. Coexistence gap refers to a resource block (in one of the above-listed domains) which one network leaves to the other's use. LTE-U applies duty-cycling to fairly share the medium with the collocated WiFi networks [4], i.e., uses time domain coexistence gaps.

In our recent work [5], we showed that LTE-U/WiFi coexistence can be significantly improved by placing coexistence gaps in space through cross-technology interference-nulling (CTIN) from LTE-U BS towards WiFi nodes. Nulling removes the co-channel interference from LTE-U towards the nulled WiFi nodes; hence co-existence can be significantly improved. Consequently, the LTE-U network is able to use a larger duty-cycle in comparison to the usual one computed

This work has been supported by the European Union's Horizon 2020 research and innovation programme under grant agreement No. 645274 (WiSHFUL project).

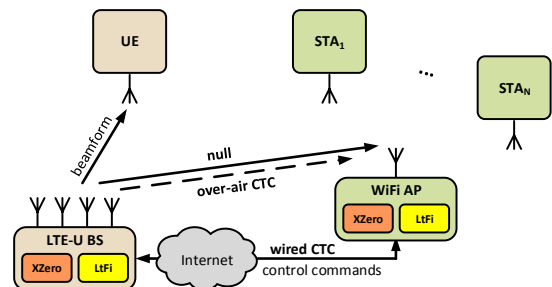


Fig. 1. Architecture of XZero: LTE-U BS performs cross-technology interference-nulling towards co-located WiFi nodes. Signaling information is exchanged over cross-technology control channel (CTC).

according to LTE-U's CSAT algorithm as the nulled WiFi node is effectively being removed from the system. But, using some of its degrees of freedom for nulling, the SNR towards its own UEs is slightly decreased. However, as long as the gain from increased CSAT cycle outweighs the SNR loss, nulling improves the capacity of LTE cell without deteriorating that of the WiFi cell. Moreover, under certain circumstances the sum/total capacity of the two networks can be increased [5].

Despite its promises, CTIN has its own challenges to be realized in a practical system. First, such coordinated co-existence scheme requires a cross-technology control channel (CTC) between LTE-U and WiFi which is needed to exchange CTIN control information between both networks. Unfortunately such a CTC is missing in the standard WiFi/LTE implementations. Luckily, a recent work [6] designs a CTC, named LtFi, which facilitates communication between LTE and WiFi networks. Unfortunately, the channel state information (CSI) at the LTE-U BS towards the WiFi node to be nulled is needed for CTIN but it cannot be obtained from the CTC as only the amplitude of the signal is available.

Previous works overcame this challenge (i.e., lack of CSI for nulling) by exploiting channel reciprocity for estimating the interferer's channel ratio [7] which is sufficient to compute nulling precoding vector. However, nulling in TIMO [7] is possible only if the collocated technology has a bidirectional communication as channel reciprocity is exploited to estimate the channel ratios and it needs time for channel estimation in the order of a few seconds. It remains unclear how TIMO's approach of utilizing channel ratios can be generalized to more than two antennas and multiple user nulling without requiring a high overhead [8]. In contrast, we design in the present paper XZero which does not exhibit these shortcomings as LTE-U

BS does not estimate channel ratios but rather relies on a null search using the feedback from the WiFi nodes to be nulled.

**Contributions:** We present XZero, the first low-complexity solution enabling cross-technology interference nulling from LTE-U towards WiFi nodes. XZero is able to quickly, e.g., in sub-seconds, find a proper precoding configuration used for interference nulling without having to search the whole angular space. Instead of an exhaustive linear null search, XZero performs a tree-based search to find proper beamforming configuration for nulling the preferred WiFi nodes. We implemented a prototype of XZero and evaluated its performance in a large indoor testbed. Our experiments show that XZero is able to decrease the interference-to-the-noise ratio (INR) at the WiFi nodes drastically, e.g., on the average 15.7 dB. Moreover, XZero is very fast compared to a naive linear search which tests sequentially each candidate nulling configuration. Another merit of XZero is its UE-transparent operation: LTE BS can simultaneously continue its downlink transmission while searching for the nulling angle.

## II. BACKGROUND ON LTE-U AND LTFI

### A. LTE-U/WiFi Coexistence Primer

LTE-U is being defined by the LTE-U forum [9] as the first cellular solution operating in the unlicensed bands for the downlink (DL) traffic. The LTE carrier aggregation framework supports utilization of the unlicensed band as a secondary cell in addition to the licensed anchor serving as the primary cell. The LTE-U channel bandwidth is set to 20 MHz which corresponds to the smallest channel width in WiFi. LTE-U enables coexistence with WiFi by means of dynamic channel selection and adaptive duty cycling. For the latter, a mechanism called *carrier sense adaptive transmission* (CSAT) is used to adapt the duty cycle, by modifying the  $T_{on}$ , and  $T_{off}$  values where  $T_{csat} = T_{on} + T_{off}$ , to achieve fair sharing with WiFi and other LTE-U networks. More specifically, LTE-U adapts its  $T_{on}$  value according to the observed WiFi medium utilization and number of WiFi nodes [10]. Note that the LTE-U transmissions take place only during the  $T_{on}$  phase. This is different to WiFi which applies a listen-before-talk (LBT) scheme. According to [10], a CSAT period  $T_{csat}$  of 40, 80, or 160 ms is recommended. Finally, the LTE-U transmissions contain frequent gaps during the  $T_{on}$  phase (so called subframe puncturing), which allow WiFi to transmit delay-sensitive data. At least 2 ms puncturing has to be applied every 20 ms according to Qualcomm’s proposal [10]. Please refer to [4] for more details on LTE in the unlicensed spectrum.

### B. LtFi Primer

LtFi [6] is a system which enables to set-up a cross-technology control channel (CTC) between co-located LTE-U and WiFi networks for the purpose of cross-technology collaboration, e.g., radio resource and interference management. It is fully compliant with LTE-U technology, and works with WiFi commodity hardware by utilizing the spectrum scanning capability of modern WiFi NICs (e.g. Atheros 802.11n/ac).

The LtFi architecture consists of two parts, namely an air and a wired interface. The former is used for over-the-air broadcast transmission of configuration parameters (i.e. IP address) from LTE-U BSs to co-located WiFi APs which decode this information by utilizing their spectrum scanning capabilities. This configuration data is needed for the subsequent step to set-up a bi-directional control channel between the WiFi nodes and the corresponding LTE-U BSs over the wired backhaul, e.g. Internet. Note that a WiFi node, i.e., LtFi receiver, can measure on its air-interface the LTE-U signal’s power for each WiFi OFDM subcarrier  $|h_i|^2$  during both LTE-U’s  $T_{on}$  and  $T_{off}$  phase. Please refer to [6] for more details on LtFi.

## III. ILLUSTRATIVE EXAMPLE

We consider a coexistence setting as in Fig. 1 which consists of an LTE-U network and a WiFi network both operating in the same unlicensed spectrum. In this setting, our goal is to enable LTE-U BS to apply beamforming towards its users while nulling its interference on selected WiFi nodes<sup>1</sup>. Before going into details, let us explain XZero using an example.

The major challenge of applying cross-technology interference nulling from LTE-U towards WiFi is the missing complex channel state information (CSI) which cannot be obtained from the LtFi CTC air-interface. Hence, XZero employs an indirect approach using a random nulling scheme together with a tree search. Fig. 2 shows how XZero estimates the nulling direction ( $1^\circ$  in this example) by performing a tree-based search where the LTE-U BS is equipped with Uniform Linear Array (ULA) with  $K = 8$  antennas. It executes null sweeps in multiple steps. Specifically, it starts with a “wide” null (beam) pattern to do a tree search and narrow the beam in subsequent steps. In case of symmetric ULA, we break at level 1 the range from  $-90^\circ$  to  $90^\circ$  into three sectors. Each sector is again split up in smaller sectors at the next level, e.g. at level 2 the range from  $-30^\circ$  to  $30^\circ$  is split up into three sectors. Note that the number of nulls at each level are different, i.e. 6, 4, 2, and 1. This is needed to guide the null-beam search in the right subtree, i.e., there is exactly one sector giving the lowest interference-to-noise ratio (INR) at each tree level. A null beam configuration is kept for the duration of a single LTE-U cycle period,  $T_{csat}$ . After testing all nulling configurations at a particular level, the WiFi node reports the sector having the lowest INR to the LTE-U BS through LtFi’s wired interface. Next, BS continues its null search in the subtree of the reported nulling configuration. The algorithm stops after testing all leaf nodes. Note that leaf nodes have just a single null. Our tree-based search is similar to [11] except that we search for null beams.

## IV. SYSTEM MODEL

We consider a system consisting of a single LTE-U BS and a colocated WiFi BSS (AP with multiple STAs) as depicted in Fig. 1. BS and AP are connected to a wired backhaul and are able to exchange messages over the backhaul with

<sup>1</sup>Note, we assume that the LTE-U BS has already decided on which nodes to null and we concentrate on the actual step of nulling. Please refer to [5] for more on how the nodes can be selected for nulling.

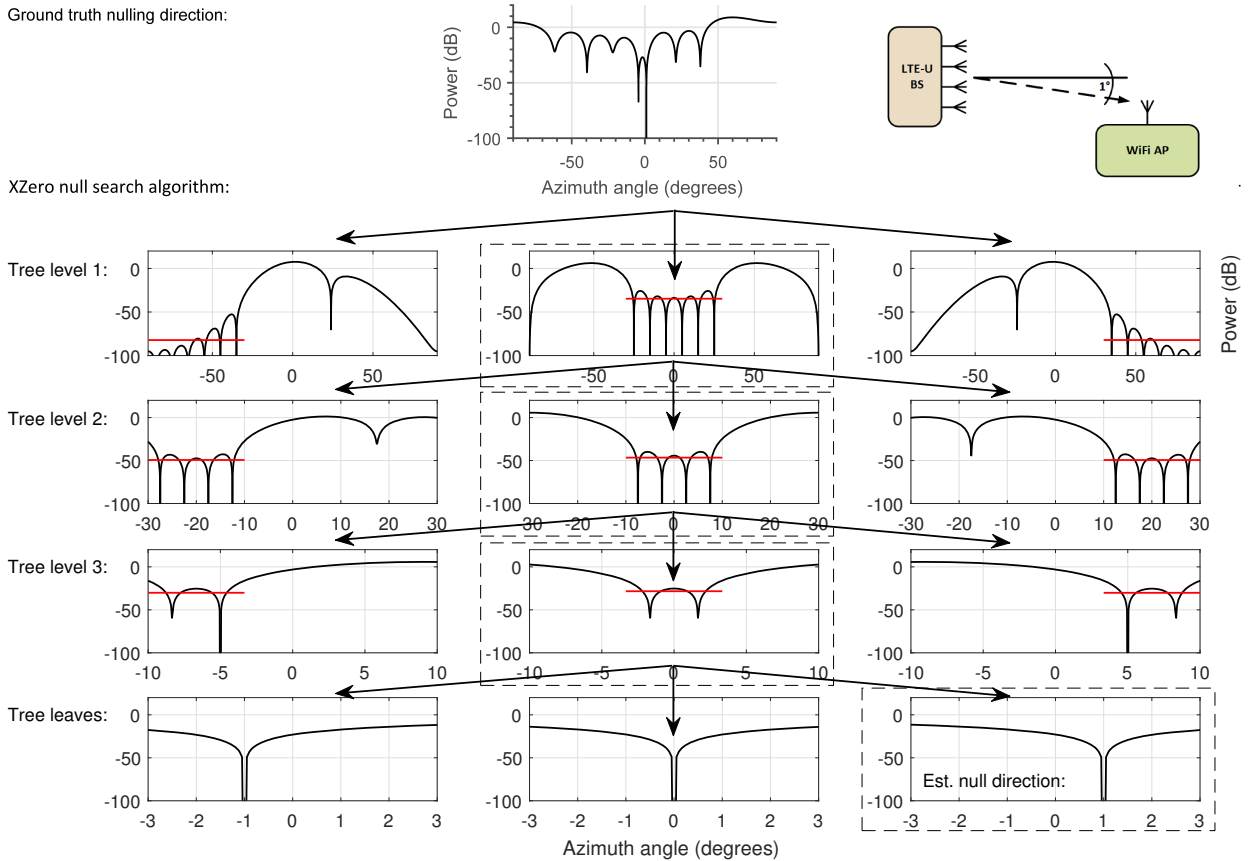


Fig. 2. Illustrative example of XZero null search algorithm ( $K=8$  antennas). The WiFi AP is to be nulled and it is located at  $1^\circ$  from the LTE-U BS.

a delay of  $\tau_b$  ms. Both the LTE-U and the WiFi cell operate on the same unlicensed channel with bandwidth of 20 MHz. The BS is equipped with a Uniform Linear Array (ULA) with  $K$  antenna elements. The AP is equipped with a single antenna. The transmit power budget for the BS is  $P_t$ , i.e., transmit power after precoding sums up to  $P_t$ . For the BS, we consider the DL case where it adjusts the transmitted signal by means of precoding in order to achieve interference nulling. The precoding weights can be assigned on a per Radio Resource Block (RRB) level. The total number of RRBs is  $N_{RRB}$ . LTE-U applies adaptive duty cycling as described in Section II-A. As mentioned in Section II-B, the AP is able to measure the received signal power of frames sent over the CTC air-interface on a per WiFi OFDM subcarrier granularity, i.e.,  $|h_s|^2$ ,  $s=1 \dots NSC$ , where  $NSC$  is the number of subcarriers.

## V. XZERO'S ARCHITECTURE

### A. Overview of XZero

The main objective of XZero is to improve the co-existence between co-located LTE-U and WiFi networks. To this end, it performs at the LTE-U BS interference nulling towards co-located WiFi nodes (Fig. 1). As a consequence, the BS is able to increase its CSAT duty cycle [5]. Another goal is to make sure that the performance of both networks is not significantly deteriorated during the null search. Hence, instead of searching with a beam (e.g., in contrast to [11]) we are searching with

nulls to ensure that WiFi is not affected. To avoid degradation in LTE network throughput during the null search, the signal towards the LTE UE is always beamformed (cf. Fig. 1). Hence, an LTE-UE can continue to receive its DL traffic while BS is null searching. XZero requires no modifications to the WiFi besides the support of LtFi CTC. On the BS side, we assume the existence of an SDR platform which gives the possibility to perform antenna precoding. All required signaling information is exchanged using the LtFi system.

### B. Precoding Vectors

As shown in the example from Section III, the BS performs a tree-based null search to find the best nulling configuration. In XZero, the precoding vector is computed using LCMV beamformer [12] as it allows us to put the signal in the desired direction (i.e., UE) and to place nulls into other directions, i.e., WiFi nodes. The inputs to the LCMV are the direction of arrival angles. In XZero, the precoding weight vectors  $w \in \mathbb{C}^{1 \times K}$  are precomputed and stored in a tree data structure. During the null-search the tree is traversed.

### C. Power Correction

In free space environment without multipath reflections, the so far described approach is able to find the correct nulling angle (for each LTE-U RB/SC), i.e., good INR values after nulling. However, this is not the case in a real environment

with significant multipath resulting in frequency-selective fading. This is because so far we do not take the geometry of the environment into account.

Hence, before performing the actual null-search, we measure the power on each antenna path independently. Therefore, the BS is transmitting its signal on each transmitter antenna alternately. The WiFi node to be nulled estimates the receive power  $|h_s^k|^2$  of each antenna path  $k$  on each WiFi OFDM subcarrier  $s$ . This information is feedbacked to the LTE-U BS which is using it to correct the precoding values so that the power in each antenna path stays the same. However, the difference between the WiFi and LTE PHY layer, i.e., subcarrier and RB orientation, poses a challenge for XZero in this step. In WiFi, each 20 MHz channel accommodates 64 subcarriers each with 312.5 kHz bandwidth whereas an LTE channel with 20 MHz bandwidth consists of RBs with 180 kHz bandwidth and 15 kHz subcarriers. To have a mapping between the measured signal at the WiFi receiver and LTE transmitter RB, we find the subcarrier  $\hat{s}$  that has the closest central frequency to that of the LTE RB  $r$ , i.e.,  $\hat{s} = \arg \min_{s \in \text{NSC}} |f_c(r) - f_c(s)|$  where  $f_c(\cdot)$  gives the center frequency of a WiFi subcarrier or RRB. Note that one could apply other methods for a more accurate estimation, e.g., extrapolation from 312.5 kHz to 180 kHz values. However, this aspect is out of scope for this paper.

Let  $W$  denote the BS's actual precoding weight matrix:  $W \in \mathbb{C}^{K \times \text{NRRB}}$ , where NRRB is the total number of LTE RRBs. Then, we calculate the column  $r$  corresponding to RRB  $r$  of the corrected weight matrix as follows:

$$W_r = w \odot \left( \frac{|h_s^0|^2}{|h_s|^2} \right)^{\frac{1}{2}}, \text{ where } s = \arg \min_{s \in \text{NSC}} |f_c(r) - f_c(s)| \quad (1)$$

where  $w$  is the precomputed precoding vector (Sec. V-B) and  $\odot$  being the element-wise multiplication.

In a final step, we normalize to ensure power after precoding sums up to the transmit power budget:

$$W_r^* = \frac{W_r}{\|W_r\|_F} \quad (2)$$

where  $\|\cdot\|_F$  denotes the Frobenius norm.

#### D. Standard Mode of Operation

Fig. 3 shows the standard operation of XZero. The LTE-U and WiFi networks collaborate over the LtFi wired control channel. In case the decision was made to null the WiFi node, the power measurement phase starts at the end of which the BS knows the power on each antenna path and hence is able to correct the precomputed precoding values as described in Sec. V-C. Note that during that phase no precoding is applied. The subsequent step is tree-based null beam search during which the BS performs different nulling configurations. WiFi AP feedbacks the ID of the configuration having the lowest INR value. The search stops after testing the single null configurations, i.e., leaves. Finally, from all tested nulling configurations, the one achieving the lowest INR value is used.

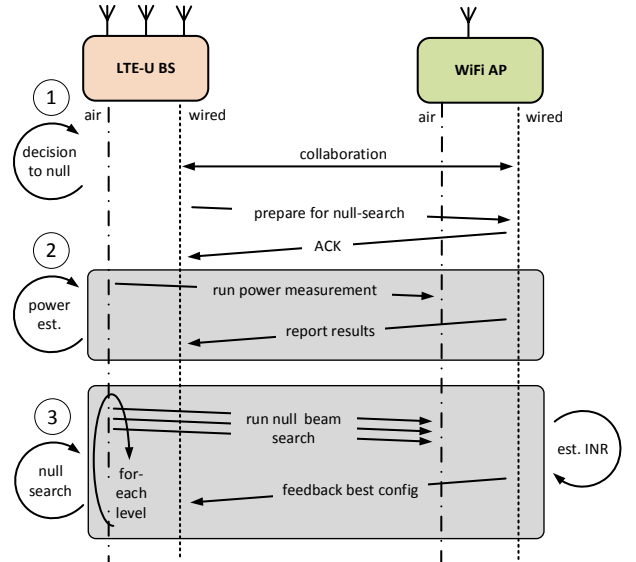


Fig. 3. The LTE-U and WiFi networks are collaborating with each other over the LtFi wired control channel. The process starts when the decision to null a particular WiFi node is made. Before the actual null-search, there is a phase where the receive power on each antenna path is measured.

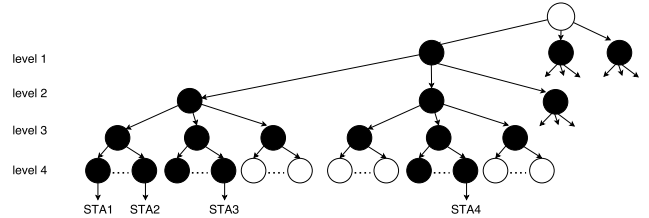


Fig. 4. Searching multiple users. Only the black nodes are visited.

#### E. Null Beam Search for Multiple Stations

In case there are multiple users to be nulled at the WiFi cell, XZero can search in parallel to incur a lower re-configuration delay compared to sequential search for multiple users. Fig. 4 shows how the tree search is executed for nulling four stations— STA1 to STA4. In this example, STA1 and STA2 are in a similar angular location relative to the LTE-BS and STA-4 is at a separate angular orientation compared to the remaining three stations. Here, we can see that multiple subtrees are expanded in parallel. This is especially beneficial in case multiple WiFi nodes are co-located, i.e., at the same angular direction, where XZero achieves faster configuration than sequential execution of tree-search for each user. Unfortunately, in the multi-user searching case the proposed power correction (Sec. V-C) cannot be applied resulting in slight degradation in INR per user (Sec. VII).

#### F. Extensions to LtFi

For the purpose of XZero additional frame types were introduced in LtFi: i) power measurement and ii) null-beam search. The former is sent by the BS in preparation of the actual null-beam search to measure the power on each antenna path so that the precomputed precoding vectors can be corrected as suggested in Sec. V-C. The null-beam search

frame marks the start of the tree-based during which different null-beam configurations are tested.

## VI. IMPLEMENTATION DETAILS

We present details of our XZero prototype implementation.

**LTE-U BS:** The LTE-U BS was implemented on Ubuntu 16.04 LTS using srsLTE [13], the open-source software-based LTE stack implementation, running on top of USRP software-defined radio platform, namely X310.<sup>2</sup> In particular, we modified srsLTE to implement LTE-U’s duty-cycled channel access scheme, where we provide an API to program the duration of  $T_{on}$  and  $T_{off}$  of single LTE-U period as well as the relative position of the puncturing during  $T_{on}$  phase. Moreover, the API allows setting the antenna precoding per RRB to be used during the LTE-U’s  $T_{on}$  phase in real-time using UniFlex [14] control framework. We implemented LtFi and the actual functionality of XZero, i.e., the tree-based null search, as Python-based applications.

**WiFi AP:** At the WiFi side, we use Ubuntu 16.04 LTS and commodity hardware, namely Atheros AR928X wireless NIC, that allows spectrum scanning at a very fine granularity.<sup>3</sup> We sample with frequency of 5-50 kHz<sup>4</sup> and pass this data to LtFi receiver component which is implemented entirely in Python. Note that we disabled Atheros Adaptive Noise Immunity (ANI). The LtFi receiver component reports the INR values measured during the LTE-U’s  $T_{on}$  and  $T_{off}$  phases to the XZero component. From the set of measured INR values, the XZero component estimates the nulling configuration with minimum INR which is sent to the XZero component at the LTE-U BS through the wired LtFi interface. Finally, regarding the beamforming/nulling, no changes were needed on the WiFi as the interference nulling is fully transparent to the receiver.

## VII. PERFORMANCE EVALUATION

We evaluate the performance of XZero by means of experiments. As performance metric, we report INR at the WiFi node, with and without nulling. We calculate the INR as the ratio between the received power during the LTE-U on ( $P_{T_{on}}$ ) and off ( $P_{T_{off}}$ ) phases:  $INR = P_{T_{on}}/P_{T_{off}}$ , where  $P_{T_{on}}$  is the interference from the DL LTE-U signal and  $P_{T_{off}}$  corresponds to the noise in the environment as no LTE signal is transmitted during the off-period. Subsequently, we calculate the interference reduction due to nulling as  $\Delta INR$ .

In the following, we have two sets of experiments: (i) over-the-cable experiments in our lab to mimic a controlled wireless channel where there are no multipath effects and (ii) over-the-air experiments in the ORBIT grid testbed representing a realistic wireless scenario. Our aim is to understand the performance difference between XZero’s tree and linear search in terms of reconfiguration delay, i.e., due to mobility or joining/leaving of WiFi nodes, and the achieved  $\Delta INR$  at the nulled WiFi nodes. Moreover, we compare XZero with a baseline without interference nulling.

<sup>2</sup><https://kb.ettus.com/X300/X310>

<sup>3</sup>[https://wireless.wiki.kernel.org/en/users/drivers/ath9k/spectral\\_scan](https://wireless.wiki.kernel.org/en/users/drivers/ath9k/spectral_scan)

<sup>4</sup>We used the maximum sample rate which is a chipset-specific value.

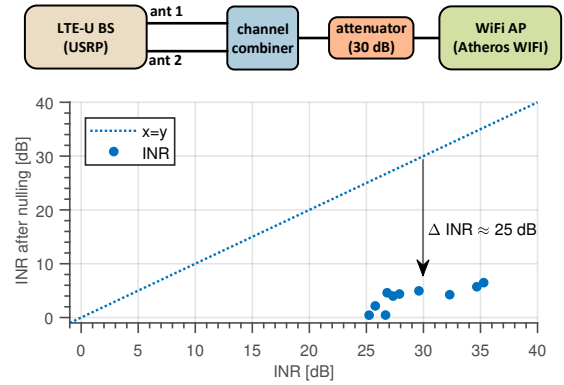


Fig. 5. Over cable experiment — experiment setup (upper) and INR before vs. after nulling for various USRP transmit power level, i.e. 0 to 27 dB, (lower).

### A. Over cable experiments

In this scenario, we aim to understand the upper bound in  $\Delta INR$  XZero can provide under optimal conditions. Hence, we use a wired (i.e. frequency flat) channel. We mix the output of BS’s  $K=2$  antenna ports using a channel combiner (Fig. 5, upper). Next, the combined signal was transmitted over coaxial cable to single WiFi node and the INR was measured. Since the noise floor depends on the BS’s (USRP) transmit gain, it was measured each time during the LTE-U off-phase.

Fig. 5 plots the measured INR values before and after nulling for different transmission power levels. As the figure shows, the measurement points are clustered below the  $x = y$  line and close to x-axis, meaning that the INR before nulling is significantly higher than the INR after XZero’s nulling operation. On average, the interference is reduced by  $\Delta INR=25$  dB.

### B. Over-the-air experiment in ORBIT testbed

While the interference reduction achieved by XZero in the previous scenario is very promising, unfortunately such a high reduction in INR may not be achievable in the existence of multipath effects that would occur in a real wireless channel. To evaluate the robustness of XZero against multipath effects, we perform an over-the-air experiment under real conditions with severe multipath (i.e. frequency selective fading) channel. As an example, Fig. 6 (upper) shows the received power at a randomly selected WiFi node from each BS’s transmit antenna. We see very strong frequency selectivity of up to 12 dB, which may hamper the operation of XZero as interference nulling becomes difficult in such a channel. Hence, in XZero we perform power correction (Sec. V-C). The receiver power after correction is shown in Fig. 6 (lower). Here, all antenna paths have similar receive signal strength and the total emitted power over all transmit antennas stays the same after power correction, i.e., some paths are strengthened others weakened.

The BS’s transmitter hardware used during this experiment is shown in Fig. 7 (upper). We selected  $K=4$  transmit antennas arranged along a line (ULA) with spacing of 7.18 cm. The RF center frequency was selected as 2.412 GHz (WiFi channel 1 in ISM band) as the antenna spacing was fixed in the ORBIT grid and too large for 5 GHz UNII band.



Fig. 6. Receive power of each antenna path at node13-13 before and after power correction respectively.

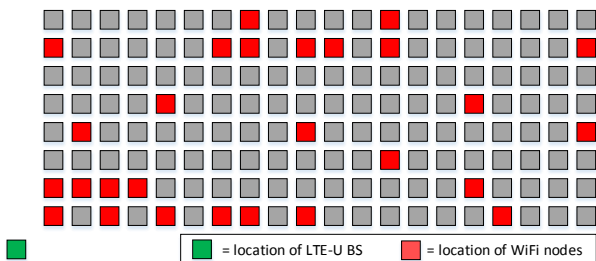
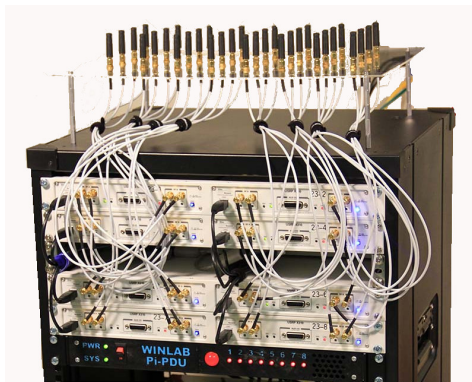


Fig. 7. Experiments in ORBIT grid, mMIMO mini-rack used for XZero transmitter (upper) and node placement in grid layout with  $\approx 1$  m spacing (lower).

For the experiment, we randomly selected 27 WiFi nodes equipped with Atheros 802.11n NIC from the ORBIT grid (*orbit-lab.org*). The placement of the BS and the location of the WiFi nodes are shown in Fig. 7 (lower). Next, we executed the two null search algorithms, namely XZero’s tree and linear search, and recorded the reduction in INR ( $\Delta$ INR) due to nulling as compared to baseline without nulling. As Fig. 8 shows, we observe significant reduction in INR for both schemes. More specifically, the average  $\Delta$ INR for XZero is 15.7 dB while for some nodes the INR reduction can be up to 30 dB. However, XZero’s tree-search achieves in general a slightly lower  $\Delta$ INR compared to that of linear search. We attribute this difference to possible wrong decisions made

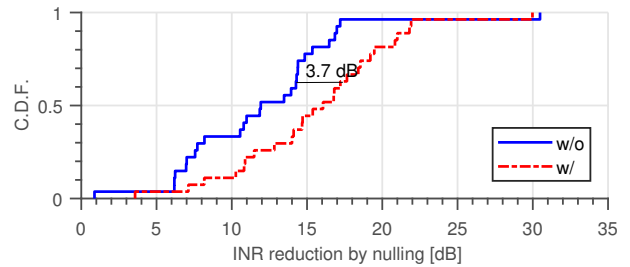


Fig. 9. INR reduction due to power correction.

during the tree search, i.e. wrong subtree traversed. However, as we show in the next section, the reconfiguration delay of XZero is up to  $10\times$  lower than that of the linear search. This results in a tradeoff between null search speed and achieved  $\Delta$ INR.

Moreover, in a wireless channel with strong multipath XZero places multiple nulls even for single users as it chooses the best configuration from the tested nulling configurations. Hence, it is possible that in some situations, a nulling configuration from an inner node of the tree achieves better INR than those tested in the leaf nodes. In our experiment XZero uses 2.7 nulls on average for a single user, i.e. WiFi node, to be nulled. Again, we have a tradeoff between null-beam search speed and the required number of nulls.

Finally, Fig. 9 shows the advantage from the proposed power correction (Sec. V-C). We see that on average 3.7 dB is achieved compared to when power correction is not applied.

### C. Reconfiguration delay

Null search has to be performed both at the time of network booting and also upon a change in network topology, e.g., due to node mobility as well as when WiFi nodes join or leave the network. XZero can support nomadic or even mobile WiFi nodes by reconfiguring the nulling configuration. Even when nodes are stationary, fast reconfiguration capability is desirable as the environment is not static, e.g. moving people.

As described in Sec. V-D, the LTE-U BS tests different nulling configurations during XZero’s tree-based null search. That is, during a single LTE-U CSAT cycle,  $T_{csat}$ , we can test multiple nulling configurations. This is because we are able to sample the receive signal power at a high sample rate of up to 50 kHz at the WiFi receiver side (Sec. VI). From our experiments, we found out that for every 2 ms of LTE-U  $T_{on}$  phase a single nulling configuration can be tested with sufficient accuracy, i.e., averaging over 100 measured INR values. Moreover, at each level of the search tree, the WiFi node informs the LTE-U BS about the best nulling configuration using the wired backbone so that the searching can continue in the proper subtree. Hence, both the LTE-U duty cycle,  $\lambda_{dc}$ , as well as the latency of the wired backhaul,  $\tau_b$ , affect the reconfiguration delay.

Fig. 10 shows the reconfiguration delay for different LTE-U duty cycles, i.e. 5 and 20% respectively. The length of the CSAT period was fixed to  $T_{csat} = 40$  ms. Corresponding  $T_{on}$  duration is 2 ms and 8 ms for these two  $\lambda_{dc}$  values, respectively. Hence, during a single  $T_{csat}$  period, XZero can

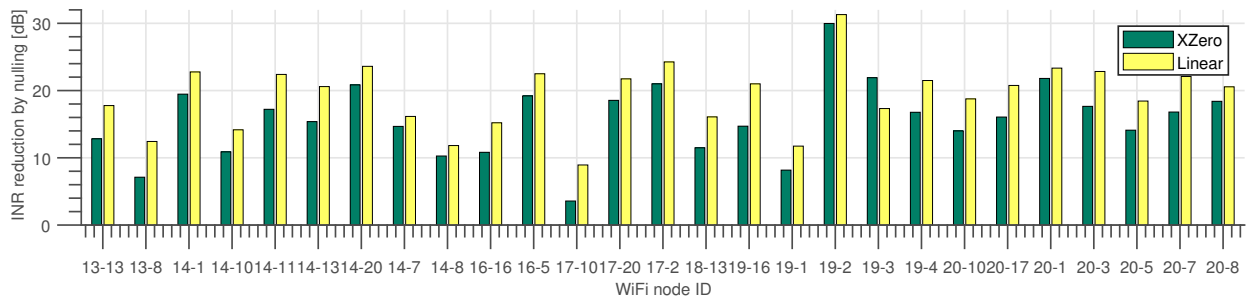


Fig. 8. INR reduction after nulling for both XZero’s tree-search and exhaustive linear search.

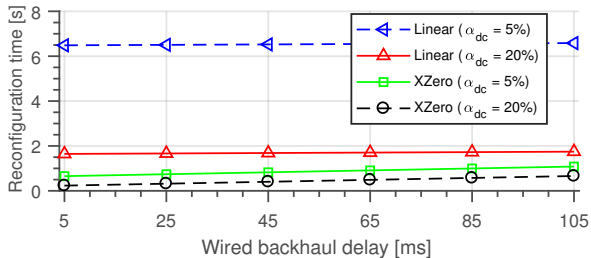


Fig. 10. Reconfiguration delay for a single WiFi user ( $T_{csat} = 40$  ms).

test one and all three configurations<sup>5</sup> for  $\lambda_{dc}$  of 5% and 20%, respectively. We can see that  $\lambda_{dc}$  has a huge impact on linear search as with smaller value less nulling configurations can be tested during a single LTE-U cycle. In contrast, its impact is marginal in XZero. Unsurprisingly, latency of the wired backhaul has a significant impact on XZero as the WiFi node sends its feedback over the wired backhaul for null search. Nevertheless, the proposed tree-based null search can be completed in just 1.1 s even under a high backhaul latency of  $\tau_b=105$  ms, i.e. Internet backhaul over different ISPs, and short LTE-U duty cycle of  $\lambda_{dc}=5\%$ . This value is much faster compared to 6.6 s needed for scanning the whole space, i.e., linear search. For  $\lambda_{dc}=20\%$ , the tree search is completed in just 0.65 s. The speed-up against linear search is  $10\times$ . Finally, under optimal conditions with a very fast backhaul of  $\tau_b=5$  ms XZero requires 0.23 s for reconfiguration. Moreover, XZero is less sensitive to  $\lambda_{dc}$  and hence to the LTE-U network load.

Finally, Fig. 11 shows reconfiguration delay in case of multiple WiFi nodes to be nulled. We clearly see the speed-up due to the use of proposed parallel search compared to sequentially running the tree search for each user one by one.

#### D. Discussion

The reconfiguration delay is very low with XZero at the cost of slightly increased INR and the usage of more than a single null. Hence, in a mobile environment XZero should be preferred over exhaustive linear search. Given the fact that massive MIMO is becoming a reality, we expect the disadvantage of having to place multiple nulls per user to become insignificant. We have not detailed how to design the search tree. The tree’s fanout, i.e. node degree, is one of

<sup>5</sup>In each LTE-U cycle, XZero can test at most three configurations as the search tree has a fanout of three. Hence, it cannot benefit from very long  $T_{on}$ .

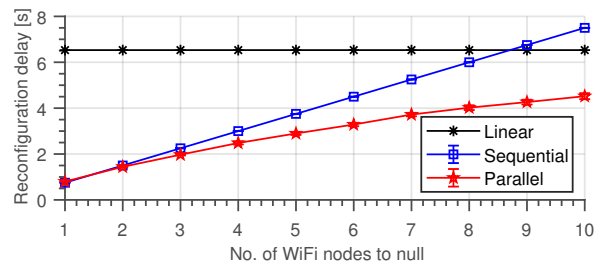


Fig. 11. Multi-user reconfiguration delay,  $T_{csat}=40$  ms,  $\tau_b=50$  ms,  $\lambda_{dc}=5\%$ .

the factors determining the reconfiguration latency. Another is the sampling frequency at the WiFi nodes which determines the time needed ( $\tau_s$ ) for measuring INR under a nulling configuration. For a given  $T_{on}$ , XZero can test  $\lfloor T_{on}/\tau_s \rfloor$  configurations. Then, XZero can adapt its tree search’s fanout to fully utilize LTE-U on-period by setting it to  $\lfloor T_{on}/\tau_s \rfloor$ . Alternatively, it can apply speculative branching in which  $\lfloor T_{on}/\tau_s \rfloor$  nodes are visited in each step of the tree search.

## VIII. RELATED WORK

XZero is a practical solution aiming to improve inter-technology coexistence between LTE-U and WiFi networks. While the literature on this general scope is very rich (e.g., [15]), practical solutions are only a few [16]. We identify two groups of research as the most related ones to XZero: MIMO-based interference coordination and beam searching methods.

**Interference Coordination:** The classical approach to enable coexistence is to avoid interference via spectrum isolation in either time, frequency, code, or space. In contrast, XZero belongs to the category of interference coordination, where multiple transmissions can co-exist without isolation [17].

**(a) Cross technology Interference:** TIMO [7] uses interference nulling at the WiFi transmitter and cross-technology decoding at the WiFi receiver to enable cross-technology coexistence with other unlicensed technologies (e.g., with wireless baby monitors or cordless phones). For nulling, a WiFi transmitter needs channel state information (CSI) to the collocated receiver of the other wireless technology which is obtained by utilizing channel reciprocity. To achieve robustness in channel estimation, TIMO needs to sample the interferer’s signal for a few seconds, which makes it difficult to apply in mobile settings. To tackle the same challenge, XZero performs a quick null search rather than trying to estimate the channel between the two network nodes (i.e.,

LTE-U BS and WiFi node) directly. TIMO requires substantial changes to the WiFi receivers to facilitate cross-technology signal decoding under strong interference. Moreover, the WiFi nodes have to be equipped with at least two antennas. On the contrary, XZero achieves nulling much faster compared to TIMO and without any significant changes to neither to LTE UEs nor to WiFi nodes. Moreover, XZero can operate under moderate node mobility. On the other hand, our desire to keep the receivers unmodified may result in interference at the UEs from nulled WiFi nodes. While XZero can avoid this challenge by carefully selecting the WiFi nodes to be nulled, e.g., they must be distant and angularly separated from UEs, we plan to enhance XZero by implementing some receiver-side solutions in future work. Nevertheless, XZero and TIMO can complement each other: the former being implemented at the LTE-U BS and the latter at the WiFi AP.

Yun et al. [16] were first to consider cross-technology MIMO for supporting LTE and WiFi coexistence. Similar to TIMO [7], they proposed a decoding scheme where LTE and WiFi transmitters are sending together and the receivers equipped with multiple antennas decode the overlapping (interfering) OFDM transmissions. However, they assumed the extreme case where LTE is transmitting continuous so that a special algorithm is needed to obtain the cross-technology channel state without the need to estimate a clean reference signal. This is not needed as LTE-U uses duty cycled channel access. Finally, the approach from [16] shares the same disadvantages with TIMO, e.g. modifications needed at receiver side (LTE-UE and WiFi STA) for complex signal processing. **(a) Intra-technology Interference:** OpenRF [18] shares in essence the same goals as XZero. But, in contrast to XZero, OpenRF does not have to tackle the challenges of cross-technology communication as it is a solution for managing intra-network interference of Enterprise 802.11 WiFi networks. We believe that XZero and OpenRF can complement each other as the former aims to coordinate the coexistence of heterogeneous technologies, i.e. mitigation of inter-technology interference, and the latter of homogeneous technologies, i.e. mitigation of intra-technology interference.

In short, while XZero shares some goals and design principles with the above-listed MIMO interference coordination schemes, it is unique as it can be easily integrated with both the LTE-U and IEEE 802.11 standards. Moreover, receiver side can be implemented using existing commodity WiFi hardware.

**Beam Searching Methods:** Agile-Link [19] aims to find the correct beam alignment for an mmWave link which is frequently needed at the BS due to disruptions by beam misalignment, node mobility, or link blockage. Similar to XZero's tree search, Agile-Link applies a multi-step steer search instead of sequentially testing all beam directions to decrease beam-alignment time, e.g., typically multiple seconds. More specifically, Agile-Link eliminates the bins which do not accommodate a high-energy level. XZero has the same spirit as Agile-Link, however, the way it achieves its goals differs from the latter, i.e. tree-based search vs. beam hashing.

## IX. CONCLUSIONS

In this paper, we have developed a practical system, XZero, for improving the coexistence between co-located LTE-U and WiFi networks. Developing coexistence schemes is nontrivial due to the difference between LTE and WiFi medium access rules, and the lack of coordination mechanisms between these two networks. XZero tackles the first challenge by exploiting multiple antennas at the LTE BS to suppress the interference at the WiFi nodes while continuing its downlink transmission, in addition to the existing duty-cycling in LTE-U. For the latter challenge, XZero extends an existing solution for cross-technology communication between LTE-U and WiFi networks. To the best of our knowledge, XZero is the first of its kind: a low-complexity practical system performing cross-technology interference-nulling between LTE-U and WiFi networks by applying a tree search to find the proper nulling configurations via feedback from the WiFi network. Experimental analysis on the developed prototype shows that XZero can achieve a significant interference reduction on the nulled WiFi nodes. Moreover the null search is very fast compared to exhaustive linear search. However, this comes at the cost of nulling multiple angles simultaneously in an environment with multipath fading. Given that massive MIMO is becoming viable, we expect this limitation to become insignificant.

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